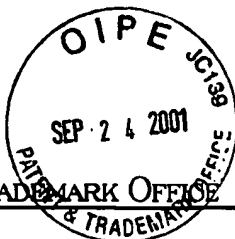




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/916,529         | 07/30/2001          | Kazuhiko Hayashi      | 01FN046US              |

CONFIRMATION NO. 9042

McGuireWoods  
Tysons Corner  
1750 Tysons Boulevard, Suite 1800  
McLean, VA 22102-4215

RECEIVED

FORMALITIES LETTER



NOV 15 2001

\*OC000000006547134\*

OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

Date Mailed: 09/13/2001

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 35 & 36 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

---

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER

Docket No. 01FNO4605 In re: ☒ patent/ ☐ trademark application of  
K. Hayashi ; J. Fujikata ; T. Ishi et al  
For Magneto-Resistance Effect Element, magneto-  
Resistance Effect Head, Magneto-Resistance Transducer...

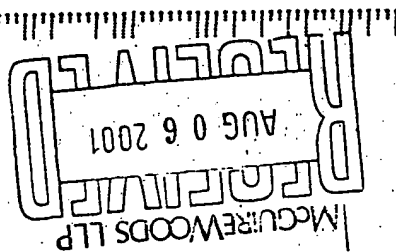
112 Pages in Specification 63 No. of claims [8 independent; 55 dependent]  
51 Sheets of Drawings ☒ Abstract ☐ Specimens  
☒ Transmittal Sheet ☒ Fee Calculation Form  
☒ Combined Declaration and Power of Attorney ☐ Ver. Stmt/Sm Entity Status  
☒ Assignment ☐ Recordation Form Cover Sheet  
☒ Information Disclosure Statement ☒ PTO-1449 and associated art (5 docs.)  
☒ Priority Document(s) ☐ Other \_\_\_\_\_

☒ Fees \$ \_\_\_\_\_ Deposit Account No. (if applicable) \_\_\_\_\_  
ck# 27249 (710. filing fee; 40. Assignment charge; 1174. Extra Claims fee)

SERIAL NO. \_\_\_\_\_ CK# 27248

Client Matter No. 02230028AA Atty: Asst. KAR/K

HAND DELIVERED



Law Offices  
McGuire Woods, LLP  
1750 Tysons Boulevard, Suite 1800  
McLean, Virginia 22102

